Ø 001/016

FEB 0 7 2007



Western Digital Corporation 20511 Lake Forest Drive, E118-G Lake Forest, California 92630

> Tel: 949.672.7000 Fax: 949.672.6604

TO: COMMISSIONER FOR F FAX NO: (571) 273-8300 (GENER NO. OF PAGES: Cover + 15	PATENTS, U.S. PATENT & TRA PAL/MAIN FAX LINE)	DEMARK OFFICE
CERTIFICATE OF FACSIMILE TRANSMISSION	APPLICATION NO.	10/816,348
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.	FILING DATE	03/31/2004
	FIRST NAMED INVENTOR	William C. Cain, et al.
Renee M. Franks Typed/Printed Name	ART UNIT	2132
Signature	CONFIRMATION NO.	8810
February 7, 2007	EXAMINER	Gilberto Barron, Jr.
Date	ATTORNEY DOCKET NO.	K35A1450
TITLE PERFORMING BACKUP OPERA	TIONS TO PERIPHERAL DATA	STORAGE SYSTEMS

ATTACHED WITH THIS SUBMISSION:

- 1. Transmittal Form (1 page)
- 2. Information Disclosure Statement / Form PTO/SB/08 (2 pages)
- 3. Copy of two references (12 pages)

PLEASE CONFIRM RECEIPT OF THIS TRANSMISSION. IF THERE IS ANY PROBLEM WITH THIS TRANSMISSION, PLEASE CALL RENEE M. FRANKS AT (949) 672-7871.

CONFIDENTIALITY NOTE

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION MAY BE LEGALLY PRIVILEGED AND IS CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IT IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW, INCLUDING COURT ORDERS, IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THIS FACSIMILE TRANSMISSION TO THE INTENDED RECIPIENT. YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPY OF THIS FACSIMILE TRANSMISSION OR ITS INFORMATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS FACSIMILE TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEFAX OR TELEPHONE USING THE ABOVE NUMBERS AND AIRMAIL THIS FACSIMILE TRANSMISSION BACK TO US IMMEDIATELY. THANK YOU.

Y:\IP PROGRAM__IP FILES_K35A FILES\\\\1400-\A1499\\\\1450\PTO\\\\\\1450_Faxcover U\$PTO_020707.doc

FEB 0 7 2007

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to ction of information unless it displays a valid OMB control number. Application Number 10/816,348 TRANSMITTAL Filing Date 03/31/2004 First Named Inventor FORM William C. Cain, et al. Art Unit 2132 Examiner Name Gilberto Barron, Jr. (to be used for all correspondence after initial filing) Attorney Docket Number K35A1450 Total Number of Pages In This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** Copy of two references (12 pages) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Western Digital Signature Printed name Jason T. Evans, Esq. Date Reg. No. February 7, 2007 57,862 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Cheno m Date Renee M. Franks February 7, 2007 Typed or printed name

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or rotain a bonofit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commorce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 0 7 2007

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete If Known Substitute for form 1449/PTO **Application Number** 10/816,348 Filing Date 03/31/2004 INFORMATION DISCLOSURE First Named Inventor William C. Cain, et al. STATEMENT BY APPLICANT Art Unit 2132 (Uso as many sheets as necessary) Examiner Name Gilberto Barron, Jr. Sheet Attorney Docket Number K35A1450

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Rolevant Passages or Relevant Figures Appear		
		Number-Kind Code ^{2 (F known)}			Figures Appear		
		US-	1		1		
		US-		•			
-		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		Us-					
		US-					
		UŞ-			-		
		US-	 				
		US-			·		
		US-			-		
		US-					
		US-					
		Us-					
		US-					
		US-					

Cite No.	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Rolevant Passages	T
Initials* No. Country Code Number Tkind Code (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T'	
1	JP 03-232012	10-16-1991	Y. Miyazaki		
•					
					L
					╄
					L
	1 ·	Country Code ^{3 -} Number ^{4 -} Kind Code ⁵ (if known)	Country Code ³ "Number ⁴ "Kind Code ⁴ (if known) MM-DD-YYYY	Country Code ³ "Number ⁴ "Kind Code ⁴ (if known) MM-DD-YYYY	No. 1 Date MM-DD-YYYY Applicant of Cited Document Where Rolevant Passages Or Relevant Figures Appear

Examiner Signature		Date Considered	
TENANTIA INTENA	talked the second secon		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradomark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patonts, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECEIVED CENTRAL FAX CENTER

FEB 0 7 2007

Ø004/016

PTO/SB/08B (09-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO				Complete if Known			
Cubsinuit	310 10111 144511 10			Application Number	10/816,348		
INFO	RMATION	DIS	CLOSURE	Filing Date	03/31/2004		
STATEMENT BY APPLICANT		First Named Inventor	William C. Cain, et al.				
	///co as many sho	ofe ar a	noossand	Art Unit	2132		
(Use as many sheets as necessar y)		Examiner Name	Gilberto Barron, Jr.				
Sheet	2	of	2	Attorney Docket Number	K35A1450		

Cuamina	Clia	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	2	Hard Disk Info The Storage Authority, "Freecom FHD-1 - The Intelligent Solution"; May 28, 2002, 2 pages.	
		÷	Ŷ
,		·	
	· ·······		

Examiner	Date	
Signature	Considered	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or rotain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.